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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

Docket No. 6002.03

Application of:

SIEFERT, D. M.

Group Art Unit: 2126

Serial No. 08/813,714

Examiner: LAO, SUE X.

Filed: August 4, 1999

For: **AUTOMATED RESOURCE MANAGEMENT SYSTEM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Communication dated October 13, 2004,  
provided are copies of the Information Disclosure Statements filed on April  
16, 1996 and December 10, 2001.

Respectfully submitted,

James M. Stover  
Reg. No. 32,759

NCR Corporation  
1700 South Patterson Blvd.  
Dayton, Ohio 45479-0001  
Tel. No. (937) 445-7663  
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CERTIFICATION OF MAILING UNDER 37 CFR 1.8

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Dayton, Ohio

Docket No. 6002.03

Application of:

SIEFERT, D. M.

Group Art Unit: 2755

Serial No. 08/813,714

Examiner: LAO, S.

Filed: August 4, 1999

For: **AUTOMATED RESOURCE MANAGEMENT SYSTEM**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
C.F.R. §1.97(c)**

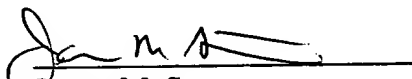
Sir:

In accordance with 37 CFR §1.56, §1.97 and §1.98, with regard to the above-named application, the items of information identified on the enclosed Form PTO/SB/08A are brought to the attention of the Examiner. A copy of each document or other information listed on the enclosed Form PTO/SB/08A is provided.

**FEE UNDER 37 CFR §1.97(c) AND §1.17(p)**

Please charge the following deposit account to cover the fee under 37 CFR §1.17(p): Deposit Account No. 50-1673.

Respectfully submitted,

  
James M. Stover  
Attorney for Applicants  
Reg. No. 32,759

NCR Corporation  
Dayton, Ohio 45479-0001  
Tel. No. (937) 445-7663  
Fax No. (937) 445-4792

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ATTY. DOCKET NO.

SERIAL NUMBER

6002

08/217,065

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICATION'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

APPLICANT

David M. Siefert

FILING DATE  
March 24, 1994

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Exam. Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	4 9 9 2 9 4 0	02/12/91	DWORKIN			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	0 4 4 3 0 3 8	08/28/91	European Patent Application				
AO							
AP							

## OTHER

ART (Including Author, Title Date, Pertinent Pages, etc.)

AR	COMPUTER, vol. 26, no. 9, 1 September 1993, pages 8-22, XP 000395673 OBRACZKA K ET AL 'INTERNET RESOURCE DISCOVERY SERVICES' * page 9, line 11 - line 28 *
AS	PROCEEDINGS OF THE OBJECT ORIENTED PROGRAMMING SYSTEMS LANGUAGES AN APPLICATIONS CONFERENCE. (OOPSLA), ORLANDO, OCT. 4 - 8, 1987 SPECIAL ISSUE OF SIGPLAN NOTICES, VOL. 22, NO. 12, DEC. 1987, vol. 22, 4 October 1987 MEYROWITZ N, pages 126-137, XP 000299779 CAPLINGER M 'AN INFORMATION SYSTEM BASED ON DISTRIBUTED OBJECTS' * page 126, left column, line 27 - page 127, right column, line 8; figures 1,2 *
AT	DATABASE INSPEC INSTITUTE OF ELECTRICAL ENGINEERS, STEVENAGE, GB, Inspec No. AN4560279, * abstract * & OCLC SYST. SERV., vol. 9, no. 3, 1993 USA, pages 31-36, SMITH J, "Electronic ILL at Colorado State University"
AT	PROC. INT. CONF. ON COMPUTER COMMUNICATION, 28 September 1992 GENOA, IT, pages 63-68, BALDINI A. ET AL. 'Melogranus: The Design of a Large Scale Distributed UNIX File System', * page 65, left column, line 3 - page 65, right column, line 22; figure 2 *

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with 37 CFR 1.609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

## **Complete if Known**

Application Number	08/813,714
Filing Date	March 7, 1997
First Named Inventor	David M. Siefert
Group Art Unit	2151
Examiner Name	S. Lao
Attorney Docket Number	6002.03

## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Harvest User's Manual" by Darren R. Hardy & Michael F. Schwartz, Version 1.0 October 1994. Last revised on November 3, 1994.	
		"Essence: A Resource Discovery System Based on Semantic File Indexing" by Darren R. Hardy & Michael F. Schwartz - University of Colorado, Boulder. 1993 Winter USENIX - Jan. 25-29, 1993 - San Diego, CA pgs 361-374.	
		"Harvest Effective Use of Internet Information," The Harvest Information Discovery and Access System.	
		"The Harvest Information Discovery and Access System" by C. Mic Bowman, Peter B. Danzig, Darren R. Hardy, Ndi Manber & Michael F. Schwartz.	
		Technical Discussion of the Harvest System.	
		Harvest Demonstration Brokers	
		Harvest User's Manual	
		Instructions for the Harvest distribution: Version 1.3	
		Frequently Asked Questions (and Answers) about Harvest Version 1.4 patchlevel 2. 13 pages	
		"Customized Information Extraction as A Basis for Resource Discovery," by Darren R. Hardy and Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder.	
		"Debugging Autonomously Managed Distributed Systems & The Harvest Cache and Directory Replicator," by Peter B. Danzig Computer Science Department, University of Southern California, November 2, 1994.	

Examiner  
Signature

Date  
Considered

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			Application Number	08/813,714	
			Filing Date	March 7, 1997	
			First Named Inventor	David M. Siefert	
			Group Art Unit	2151	
			Examiner Name	S. Lao	
Sheet	2	of	4	Attorney Docket Number	6002.03

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		Harvest: A Scalable, Customizable Discovery and Access System, IRTF-RD: Mic Bowman (co-PI, Transarc), Pater Danizig (co-PI, USC), Darren Hardy (PRA, U Colorado), Udi Manber (co-PI, U Arizona), Mike Schwartz (PI, U Colorado).	
		"Internet Resource Discovery Services," by Katia Obraczka, Peter B. Danzig and Shih-Hao Li, University of Southern California, 8153 Computer, 26 (1993) September, No. 9, Los Alamitos, CA, US.	
		"The Internet Gopher: A Distributed Server Information System," by Mark Cahill, University of Minnesota.	
		"The Internet Gopher Protocol a distributed document search and retrieval protocol" Network Working Group, Request for Comments: 1436. 15 pages.	
		Exploring the Power of the Internet Gopher, UIUCnet V6-1, January 1993.	
		"The Whole Internet" User's Guide & Catalog, Second Edition, by Ed Krol 384.648, 161 Kr 1994.	
		Internet Passport, Northwestnet's Guide To Our World Online, March 1993, Fourth Edition.	
		"archie - An Electronic Directory Service for the Internet," by Alan Emtage, McGill University, Montreal, Canaca, Peter Deutsch, McGill University, Montreal, Canada, USENIX - Winter '92.	
		"Distributed Indexing A Scalable Mechanism for Distributed Information Retrieval," by Peter B. Danzig, Jongsuk Ahn, John Noll, Katia Obraczka, Computer Science Department, University of Southern California,, Los Angeles, California. ACM SIGIR Conference 1991, pgs 220-229.	
		"Internet Resource Discovery at the University of Colorado," by Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder Colorado, October 1992.	
		"Research Problems for Scalable Internet Resource Discovery," by C. Mic Bowman, Peter B. Danzig, Michael F. Schwartz, University of Colorado at Boulder, Technical Report CU-CS-643-93, March 1993.	

Examiner Signature		Date Considered	
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			Filing Date	March 7, 1997	
			First Named Inventor	David M. Siefert	
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			Examiner Name	S. Lao	
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		"Fields of Files," by Ruth Halpern, LAN Technology, September 1991, Volume 7, Number 9, pgs 48-62.	
		"Test Drive - Inside the Document Den," by Ruth Halpern, Lan Magazine, December 1992, Volume 7, Number 12, pgs 170-178.	
		Automating Document Management, Office Administration and Automation, Vol. XLVI, No. 4, April 1985, pgs 51-86.	
		"A Network Under Control," by Ed Perratore, December 17, 1991, PC Magazine, pgs 287-330.	
		"Evolution of the Internet Gopher," by Mark P. McCahill & Farhad X. Anklesaria, J.UCS, Volume 1, Issue 4.	
		Road Map to A/UX, Release 3.0.	
		Apple Inside Macintosh Volume III	
		Brady "Inside the Apple Macintosh," by Jim Held & Pater Norton.	
		Macintosh Bible, second edition, edited by Arthur Naiman.	
		Claris for Macintosh, ClarisWorks User's Guide.	
		Guide to Macintosh System 7.5, Don Crabb.	

Examiner Signature		Date Considered	
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<b>Examiner Name</b>	S. Lao			
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<b>Sheet</b>	4	<b>of</b>	4
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		Macintosh User's Guide for desktop Macintosh computers, Part I-IV.	
		"The System 7 Book", by Craig Danuloff, Second Edition for 7.0 & 7.1.	
		"Desktop Design Series," the Ventana Press.	
		An Overview of A/UX Release 3.0, Chapters 1 & 2.	
		Mastering Windows 3.1, Robert Corvart.	
		"What Is Microsoft Workgroup Add-On for Windows?"	
		Microsoft Windows User's Guide Operating System Version 3.1.	
		Concepts, Novell NetWare 4, Network Computing Products.	

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